

**OFFICE OF THE DIRECTOR RESEARCH
GOVIND GURU TRIBAL UNIVERSITY, BANSWARA**

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Sr. F () GGTU/Bsw./2017-18/

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Date: 14-11-2017

Dear Sir/Madam

As it is already known to you the academic council meeting is going to be held on 16-11-2017 at 03.00 PM.

The University constituted a four member committee of experts for preparing a draft document of ordinance for research programme. Meeting of the committee was held on 30-10-2017 at Udaipur. The committee has prepared and submitted the draft of the ordinance which is being sent herewith for your information.



DIRECTOR RESEARCH



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)

ORDINANCE 101 FOR THE AWARD OF THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.) OF THE UNIVERSITY

0.101.1: In order to confer the degree of Doctor of Philosophy (Ph.D.) for the research work characterized by the discovery of facts or fresh approach towards the interpretation of facts or theories on an applied work of great significance, this ordinance is promulgated w.e.f. the academic session 2017-18.

0.101.2: Places where the research work can be pursued:

- (i) Ph.D. research work shall be carried out under the supervision of the registered research supervisors in the university teaching departments and colleges affiliated to this University in its jurisdiction and in the institutions recognized or approved as Research Centre, and also in a college recognized by the commission in consultation with the University concerned under clause (i) Section 2 of the UGC Act 1956.
- (a) Provided that the Colleges should be considered eligible to offer M.Phil./Ph.D. programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per this ordinance in sub-clause (c).
- (b) Post-graduate Departments of Colleges , Research laboratories of Government of India /State Government with at least two Ph.D. qualified teachers/scientists/other academic staff in the concerned Department along with required infrastructure, supporting administrative and research promotion facilities as per this ordinance in sub-clause (c), shall be considered to be eligible to offer M.Phil/Ph.D. Programmes. Colleges should additionally have the necessary recognition by this university to offer M.Phil /Ph.D. Programme.
- (c) Colleges with adequate facilities for research as mentioned below alone shall offer Ph.D. programme:
 - (i) In case of science and technology disciplines, exclusive research laboratories with sophisticated equipments with provision for adequate space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply;
 - (ii) Earmarked library resources including latest books, Indian and International journals, e-journals, extending working hours for all disciplines, adequate space for research scholars in the Department/Library for reading, writing and storing study and research materials;

- (iii) Colleges may also access the required facilities of the neighbouring institutions /Colleges or of those Institutions / Colleges/R&D Laboratories/Organizations which have the required facilities.

0.101.3: Eligibility and Registration Criteria for Research Supervisor:

A. Eligibility :

The following shall be eligible for registration as Research Supervisor provided they possess a doctoral degree of a recognized University:

- (i) The permanent teachers of the university and that of the Post graduate and UG Colleges affiliated to this University having minimum five years experience of P.G. teaching in the subject/Post-doctoral research in the subject carried out under the purview of recognized research programme of any funding agency such as UGC/CSIR/DST/DOEn/CAR etc.;
- (ii) Such a teacher must have at least three research papers published in journals of national/international repute and participated in at least three National/International Conferences in the area of research work concerned.
- (iii) A scientist/research fellow/faculty member statutorily recruited by the concerned approved institute /college recognized by University, who is involved in research, may be approved by the Vice-Chancellor as Supervisor/Co-supervisor if (a) he/she possesses five years experience of PG teaching/post-doctoral research under a post-doctoral fellowship Programme, (b) He/She has published at least three research papers in the area of research in any refereed peer reviewed journal of national/international repute, and (c) has participated in at least three National /International Conferences in the area of research work concerned.

B. Registration:

For registration as a Research Supervisor, a teacher who fulfils the above mentioned qualifications must apply in the prescribed form obtainable from the research section of the University or as available on the University web site. **(Appendix I)**

- (i) The application in the prescribed format shall be considered and approved by a **Research Supervisor Registration Committee (RSRC)** consisting of the following:;
 - a) The Dean of the Faculty concerned
 - b) Head of University Department of concerned subject/ If not available then, Convener Board of Studies
 - c) One subject expert to be nominated by the Vice-Chancellor
 - d) The Director Research –Member Secretary

C. No person shall be permitted to supervise the research work of his/her close relatives. The term close relative includes spouse, children, sister, brother, grand children, nephew, niece, grand niece, grand nephew, uncle, aunt, first cousin, son-in-law, daughter-in-law and nephew, niece, grand niece and nephew of supervisor's wife.

D. Any teacher working in a College/Institute outside the jurisdiction of this University shall not be eligible to become a Research supervisor in this University. Only a full time regular teacher of Department of this University/ College affiliated to this university can act as a supervisor. The external supervisors are not allowed.

E. The faculty members working in this University and Colleges affiliated to this University are not allowed to act as Supervisors in Private Universities.

0.101.4: Research Supervisor at UG College:

- (i) A registered supervisor posted in a college which does not run the post graduate course in the faculty concerned may continue to supervise those scholars who are already registered with him/her at the colleges having P.G. classes in the subject concerned if he finds no difficulty in supervising them from the changed place. If a registered supervisor is subsequently transferred to a U.G. College affiliated to the GGT University, she/he may still be allotted fresh candidates, except in Faculty of Science.
- (ii) A registered supervisor, awarded a research project having a position of a Junior Research Fellow, may be allowed to get such a JRF registered for the Ph.D. degree if the supervisor is posted in a U.G. College , except in the Faculty of Science.
- (iii) Any registered Supervisor of the Faculty of Arts, Fine Arts, Social Science, Commerce, Management Studies, Science, Education (both science and non-science) and Law who leaves the University department or an affiliated college or is transferred to a U.G. College within its jurisdiction or outside the jurisdiction of GGTU shall continue to supervise those scholars who are already allotted/registered with him/her. Any such registered supervisor will continue to guide students registered with him but such scholars will be allotted to Co-Supervisors with the consent of the main supervisor.

0.101.5: Limits of registration of Scholars:

(A). For in service Registered Research Supervisors: A registered research supervisor shall be entitled to get the research scholar registered up to the limit prescribed for registration before his/her superannuation. **The present limit is; for Professor - 10, Associate Professor - 7 Assistant Professor-5 research scholars respectively.**

Same rule will apply for the teachers placed in equivalent position in the colleges and other Institutions.

- C. For the Supervisor who has left the university/college:** In case, a registered supervisor leaves the University/College and/or is transferred to an affiliated college which is out of the jurisdiction of the University, or employed in any other institution, as the case may be, he will cease to be the Registered Research Supervisor for the purpose of allotment of new research scholars. Such a supervisor shall, however, be permitted to supervise those candidates who are already registered with him. For obtaining permission for this purpose, the supervisor concerned shall recommend a co-supervisor with his/her consent to supervise the candidate concerned from the research center where he was registered. Such students shall not be counted as research student registered with the co-supervisor. In case of non-availability of a co-supervisor from the same subject, co-supervisor can be recommended from a sister discipline.
- D. Age Limit for registration of research scholar:** A registered research supervisor who has attained the age of superannuation shall not be eligible to register a candidate for Ph.D. degree.
- E. Change of Supervisor:**
- (i) In the event of death/prolonged sickness, suspension/termination or transfer of the supervisor, change of supervisor shall be considered by the Research Supervisor Allocation Committee (RSAC) on request of the candidate. The period of the research work done by the candidate under such a supervisor shall be counted for the purpose of the award of Ph.D. degree on the same registration title.
- (ii) The demand of change of the Research Supervisor shall not be taken as a matter of right but in case, a candidate, who has joined the course after allotment of supervisor fails to get the supervisor changed, as per above procedure, the matter may be decided by the Vice-chancellor on the recommendations of a Committee constituted by him for the purpose.
- (iii) Where a Co-supervisor has been allotted, the candidate so registered with him shall not be counted in the prescribed quota of the Co-Supervisor.
- (iv) If a Supervisor refuses to guide any research scholar allotted to her/him by RSAC, a new supervisor shall be allotted by the Vice-Chancellor on the recommendation of the RSAC.

0.101.6: Eligibility for admission to the degree of Doctor of Philosophy (Ph.D.)

A candidate seeking admission to the degree of Doctor of Philosophy (Ph.D.) must have obtained Master's degree with at least 55 percent marks in aggregate (or its equivalent grade in a point scale where grading system is followed) at the postgraduate examination of the university or an equivalent examination recognized by university in the subject or any allied subject for pursuing research. Relaxation of 5% marks or an

equivalent relaxation of grade in the eligibility (Marks) will be given to the SC/ST and differently able candidates as per rules or for those who had obtained their Master's Degree prior to 19th September 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

- (A) The Master's degree should have been obtained after taking the Bachelor's degree with full prescribed Courses for the degree.
- (B) Candidate who takes the B.A. degree of another statutory Indian University as an oriental candidate after passing a public examination in classical or modern Indian language prior to the M.A. degree will also be eligible for registration
- (C) The degree of Doctor of Philosophy shall be awarded in the Faculty. A candidate is required to mention the faculty in which he/she wishes to pursue research at the time of filling up the application form for the Research Eligibility Test. The candidate may, therefore, opt for any one of the following option and appear in the RET for the opted subject:
 - a) same subject of his/her qualifying examination in the same faculty
 - b) allied subject of his /her qualifying examination in a different faculty
 - c) different subject of his / her qualifying examination in a different faculty
 - (i) In the case of option b) or c) above, the matter of allocation of supervisor shall be considered by the RSAC of the subject which the candidate has opted.
 - (ii) In the case of inter-disciplinary research within the same faculty, the RSAC may recommend a Co-Supervisor as per need.
 - (iii) In the case of the interdisciplinary research within two different faculties, the candidate will have to take a Co-supervisor from the other relevant faculty as allotted by RSAC.

0.101.7 Research Eligibility Test (RET)

A. There shall be an Entrance Test namely Research Eligibility Test (RET) comprising of two papers of 100 marks each. The duration of each paper shall be one hour. Paper-I shall be of General nature on Teaching and Research Aptitude and Paper-II shall be from the subject selected by the applicant. An applicant who does not appear in Paper I Shall not be allowed to appear in Paper-II. Paper-I and II shall consist 50 Multiple Choice Questions. Each question shall carry 2 marks. There will be no negative marking.

The questions for paper I and II shall be based on the syllabus of National Eligibility Test (NET) or UGC /CSIR in a particular subject selected by applicant.

B. Marking Response for Question Papers

The candidate will have to mark the response for questions of Paper I and II on the Optical Mark Reader (OMR) sheet provided along with test booklet.

Candidates would be permitted to carry the test booklet & duplicate answer sheet home, so that candidates may check their results with the key announced by the University.

C. Criteria for Declaration of result

The candidates who have obtained following minimum marks separately in Paper-I, Paper II and aggregate marks in both the papers as given below shall be declared pass:

Category	Minimum Passing Marks		Aggregate Passing Marks
	Paper I	Paper II	
General and OBC (creamy layer)	40%	40%	50%
O.B.C. (Non-creamy layer)	35%	35%	45%
SC/ST/PH	35%	35%	40%

O.101.8: Database of Research Supervisors and their vacancies:

A. Preparation of Data base: The University shall prepare data base of the registered supervisor, their field of interest and the infrastructure available in the Department/College/Approved Institutions before sending the notification in the newspapers according to the format provided by the Director Research. **(Appendix II)**

B. Filling of Database Proforma: The Head of the Departments or the Principals of the colleges concerned will get the proformas filled by each supervisor to get information of number of students registered, number of seats vacant, broad area of research, brief summary of research activities, research facilities available, research area for the prospective Ph.D. students etc. and send the same to the Director Research for publication on the university website and or displayed on notice boards to ensure that the prospective research students are well informed about research activities of the supervisors. The information may be published at least one week before the date of interview of allocation of research supervisor.

C. Entrance Test: There shall be an entrance test namely **Research Eligibility Test (RET)** once in a session based on the availability of the seats with the registered research supervisors for which the consent from each supervisor should be obtained indicating the number of seats they prefer to supervise. The Director Research shall issue a notification to this effect in National, Regional newspapers and also on the university website including the number of seats for which RET is to be conducted. The entrance test shall be conducted on the centers and as per the methods decided by the Co-ordinator RET to be appointed by Vice-Chancellor.

D. Exemption from RET: The following persons shall be exempted from appearance in the RET:

-The candidates who have qualified UGC/CSIR (JRF) Examination/NET/SLET/GATE/teacher fellowship holder or have passed M. Phil. Programme as per UGC Regulation 2009, will be exempted from appearing in RET.

E. Weightage in Percentage: (i) A Bonafide resident of the area of the jurisdiction of this University, Banswara, Dungarpur and Pratapgarh districts will be given 10% weightage in the admission of Ph.D. research programme only after having qualified in RET **(ii)** Weightage of percentage of the academic record such as Sr. Secondary, Graduation, Post Graduate, NET, SLET(SET), Fellowships (UGC/CISR) &M.Phil. Degree holder shall be as follows-

Qualifications	Weightage
(i) Sr. Secondary	10%
(ii) Graduation	20%
(iii) Post-Graduation	40%
(iv) NET/SLET (SET)/M.Phil	10%
(v) Bonafide Resident of the jurisdiction of this University (Banswara, Dungarpur and Pratapgarh districts)	10%
(vi) Fellowship (UGC/CISR)	10%

(iii) One additional seat in each department will be created especially for ST candidates (Bonafide resident of Banswara, Dungarpur and Pratapgarh districts.) who have qualified the Ph.D. admission test but could not be allotted research supervisor in interview.

F. Candidate shall be required to fill up an application form for allotment of Research Supervisor to be obtained from the Research Section (**Appendix III**) indicating there with the order of preference of his/her research interests, namely proposed research area and prospective research supervisors.

G. Procedure of allocation/allotment of Research Supervisor: The allotment/allocation of the Research Supervisor for eligible candidates shall be done by the Research Supervisor Allocation committee (**RSAC**) depending on the number of available seats per research supervisor in the subject keeping in view the research interest/area, specialization among the supervisors, the research interest of the student as indicated in the application form. Only pre-determined number of candidates may be considered for allotment in each subject.

H. Research Supervisor Allocation Committee (RSAC):

The Research Supervisor Allocation Committees (RSAC) shall consist of the following:

- i) Dean of Faculty concerned (Chairman)
- ii) Professor &HOD of university teaching Department /Senior most Professor/Associate Professor & HOD/Convener Board of Studies of that subject
- iii) One Subject expert to be nominated by the Vice-Chancellor
- iv) Director Research (Member Secretary)

I. Meeting of RSAC: The Director Research will fix up and notify the schedule of meetings of the subject wise RSAC. The RSAC shall conduct its business as required in Para (G) above and shall make its recommendations. The recommendations of the RSAC shall be submitted by the Director Research for the approval of the Vice-Chancellor.

j. Notifications of allotment of Supervisor and joining of Course Work: After obtaining approval of the Vice-Chancellor, the Director Research shall inform the candidates about their admission and the name of supervisor and ask them to submit a joining report to the Head of Department. The candidate will be required to join by submitting the joining report along with course work fee of Rs. 5000/- (Crossed demand draft in the name of “**Registrar, GGT University, Banswara**”. In service candidate shall be allowed to join only if they submit ‘No Objection Certificate; from their employer permitting them to pursue full time research along with the joining report. If a candidate fails to report within the prescribed i.e. 20 days from the date of notification, the allotment shall stand cancelled.

O.101.9 Scheme of Course Work:

A. There shall be a compulsory course work of one semester within 6 months with three papers. It shall be the responsibility of the Director Research to ensure completion of the course work within the prescribed time period.

B. The course work of Paper I shall be conducted at the University and paper II and III shall be conducted at the concerning college/Department. The outline of the syllabus is given in **Appendix IV**.

C. Norms for conduct of centralized Course Work of Paper-I

The course work of Paper I Shall be conducted for a period of 15 working days centrally in the University with continuous assessment by the Coordinator/ Co-Coordinator to be appointed by the Vice-Chancellor for this purpose.

O.101.10 Research Registration Committee

A There shall be a Research Registration Committee (RRC) consisting of following in each subject:

1. The Dean of the faculty concerned (Chairman)
2. One subject expert nominated by Vice Chancellor.
3. Professor & Head of University teaching Department/ Senior most Professor/Associate Professor & Head / Convener Board of Studies of that subject
4. Director (Research)/ Member Secretary.

B. The meeting of the RRC shall be held at regular intervals throughout the year.

0.101.11 Registration of candidate for the Ph. D. degree & date of registration

A candidate who has successfully completed the course work consisting of three papers will be eligible to prepare the synopsis in the format as per **Appendix IV A** of the research work in consultation with the supervisor. Six copies of synopsis are to be submitted. The synopsis has to be presented before the Research Registration Committee within a period of three months after the completion of the course work along with an application for registration (**Appendix IV B**). After receiving the synopsis approval of the RRC, the Research section will issue provisional registration letter asking the candidate to deposit the Admission Fee as prescribed by the Department or College concerned for admission and also deposit the Registration Fee of Rs 6,000/- in the University. (**Appendix-XVII**) If a candidate fails to deposit fee and to join the department/college/institution within three months from the date of issue of provisional registration letter, the Director shall inform him/her to join within one month, from the expiry of the earlier period, with depositing penalty of Rs. 500. If the candidate fails to join within the given period his/her provisional registration would stand cancelled automatically.

After the candidate has submitted the prescribed fee, the Research Section will issue final registration letter including the date of Registration which shall be the date of the meeting of Research Registration Committee.

0.101.12: Changes in the topic of Research

Change in the topic of research may be considered once only in exceptional circumstances to be recorded in writing if the supervisor recommends for such change in the topic and the same is found to be necessary in the interest of research by the Research Registration Committee. The recommendations of the RRC shall be effective after the approval of the Vice Chancellor. Thesis can be submitted only after a passage of one year of the change of topic.

0.101.13: Attendance and admissible period for Research

- A** The supervisor shall certify that the candidate has taken at least 100 days of guidance per year. The Supervisor shall forward yearly progress report (**Appendix V**) to the Director Research through the Head of the University teaching Department/Principal of the PG affiliated college concerned.
- B.** The candidate shall not be entitled to take admission to any degree course as regular student during the period of the date of registration and the date of submission of the thesis.
- C.** The minimum time period for submission of the thesis shall not be earlier than three years and not later than six years from the date of registration. After completion of three years, the candidate shall be required to seek extension for three years by depositing a fee as per fee schedule (**Appendix-XVII**)
- D.** After completion of six years, the period shall not be extended except in exceptional circumstances where an extension of maximum of one year may be permitted by the Vice-

Chancellor on recommendation of the supervisor routed through the Head of the University teaching Department/Principal of the PG affiliated college concerned. In case of grant of the last extension, the candidate is required to deposit a fee of Rs. 12,000/- for the seventh year.

0.101.14 Cancellation of Registration: The registration shall be cancelled if,

- A. A candidate does not provide satisfactory yearly progress report through the supervisor regularly for two years.
- B. A candidate fails to submit his thesis by the last date of completion of 6years of the registration and does not apply for extension within three months after completion of six years.
- C. A candidate fails to submit his/her thesis up to the expiry of the extended period of the registration.

0.101.15: Submission of Panel of Experts and Consent Process:

Three months before the submission of thesis the supervisor shall submit a panel of at least eight experts in the subject/area concerned. Four of these have to be of the rank of Professors from outside the State. A maximum of two Associate Professors can also be included in the panel.(Appendix VI). The Vice- Chancellor may, however ask for more names of experts for the panel and in exceptional cases may add names to the panel. In case the subject of research is such that adequate numbers of experts are not available within India, the panel may consist of two names of experts from foreign countries.

The Vice-Chancellor would then select and appoint two examiners from the panel as External Examiners.

0.101.16: Requirement for Submission of Thesis and Abstracts

- A. Prior to submission of the thesis the scholar shall make a pre-Ph.D. presentation in the Department and shall suitably incorporate into the draft thesis the suggestions so emerged under the guidance of the supervisor.
- B. The scholar shall be required to publish one research paper in a Refereed Journal/peer reviewed journal before the submission of the thesis and also produce evidence for the same in the form of the reprint. He shall also be required to make two paper presentations in National or International Conferences/ Seminars before the submission of thesis and produce evidence for the same in the form of presentation certificate. He/She shall have to give a declaration as per the Appendix VII. A copy of the research paper published must be appended at the end of the thesis. The candidate must acknowledge the department and the university/college for the facilities and cooperation provided for the work.
- C. The language of the thesis shall be either Hindi or English except for the thesis submitted in the area of languages such as English, Sanskrit, Urdu, and Sindhi etc.

- D. After the thesis is complete the scholar shall submit four printed or type written copies in a bound cover of the colour specified in **Appendix VIII** along with certificate by the supervisor in the proforma provided in **Appendix IX and IX-A** and no dues certificate by the concerned Head of the University Department/Principal of the college concerned. The cover and title page of thesis will be as specified in model (**Appendix X**). The scholar shall also be required to submit four soft copies of the thesis in separate CDs in non-editable PDF format. Along with the thesis the candidate must also submit a copy of the certificate indicating successful completion of the course work.
- E. The University will run a software program to evaluate whether there is any plagiarism in the thesis. Every thesis, before submission, will have to go through the plagiarism check.

0.101.17 Evaluation of the thesis, Appointment of examiners, Conduct of viva-voce, award of the degree and cancellation of registration:

1. **Appointment of Examiner:** The Panel of Experts provided by the Supervisor as per Ordinance 101.15 shall be submitted by the Director Research to the Vice-Chancellor for appointment of two experts as examiners, with at least one from outside the State to evaluate the thesis.
2. **Evaluation of Thesis:** The Director Research shall inform the Experts regarding their appointment as an examiner and send therewith a copy of the abstract submitted by the candidate to the examiner for giving consent to evaluate the thesis after perusing the abstract. (**Appendix XI**). The Director shall also inform the examiner that the consent must reach within one month's time failing which, it will be presumed that he/she is not willing to evaluate the thesis and the Vice-Chancellor will be requested to appoint another examiner.
 - 2.1 After receipt of the consent from both the examiners, the Director-Research shall send the thesis to the respective examiners and the supervisor concerned (who will be internal examiner for this purpose) for evaluation requesting therewith that the thesis may be evaluated within a period of three months of the receipt of thesis. The Director shall also send along with the thesis, the format of examiner's report as per **Appendix-XII** and communicate to him/her the amount to be paid as remuneration as specified in **Appendix-XIII**
 - 2.2 If all the examiners recommend award of degree of Ph.D., the Director Research shall put up the reports before the Vice-Chancellor to appoint one of the two external examiners to conduct the viva voce examination of candidate.
 - 2.3 In case one or both of the external examiners recommend a modification in the thesis, it will be communicated to the candidate through supervisor by the Director Research. The candidate shall be required to carry out the modification and resubmit the thesis within a period of three months. Resubmitted thesis will be sent to the same examiner(s). However, if any examiner expresses inability to evaluate the thesis again, the Vice Chancellor may appoint another external examiner from the original panel suggested by the Supervisor or a new panel sought by the Vice-Chancellor.

- 2.4 If one of the external examiners recommend rejection of the thesis, the thesis shall be sent to a third external examiner appointed by the Vice-Chancellor from out of the original panel and the opinion of the third external examiner shall be final and binding.
- 2.5 In case one external examiner recommends a modification while another rejects the thesis, the candidate shall be informed accordingly and asked to re-submit the thesis within a period of one year. The revised and/or modified thesis shall be sent to the same two examiners. However, if any one expresses inability to evaluate, the Vice-Chancellor shall appoint another external examiner from the original panel suggested by the Supervisor and/or Vice Chancellor may seek more names of experts for appointment of examiner(s). Even after this stage, if any one of the external examiners recommends further modification/rejection, the thesis will be rejected and the registration shall stand cancelled automatically and the candidate will be conveyed accordingly.
- 2.6 If both the external examiners recommend the rejection of the thesis it will be rejected and the registration shall automatically stand cancelled and the same will be conveyed to the candidate.
- 2.7 The period of six months/one year taken in resubmission of the thesis after modification shall be counted from the date on which the candidate is informed of the result and it will not be counted in the maximum period for submission of thesis i.e. six years from the date of registration.
- 3. Conduct of Viva-voce:** Notice for the viva voce shall be sent to the concerning Head of the teaching department of the University for display on the Notice Board. It must clearly state that all students, research scholars and faculty members can attend the same. The information regarding the conduct of viva-voce should also be given to the Dean of Faculty concerned.
- 3.1 The viva voce shall be conducted jointly by the supervisor(s) and one external examiner and question, clarification, etc. shall be asked by them.
- 3.2 The examiners shall submit their Viva-Voce report jointly to the University in the format provided in **Appendix-XIV**. The reports of the examiners will be placed before the Vice-Chancellor for acceptance.
- 3.3. If viva-voce report is unsatisfactory, the viva-voce examination shall be held again within a period of six months from the date of first viva-voce. At such second viva voce examination, the Vice-Chancellor, if required may appoint any other examiner from the panel. If the candidate fails to get satisfactory report in the second viva-voce examination, the thesis shall be rejected and his/her registration shall automatically stand cancelled.
- 4. Award of Degree:** The Degree of Doctor of Philosophy will be awarded only in the faculty of the subject in which the candidate has appeared in the research entrance test RET. The Degree of Doctor of Philosophy shall be awarded from the date of the approval by the Vice-Chancellor on the report of Viva-Voce. The Degree (**Appendix-XV**) shall be issued after passing the supplication

of the grace by the University authorities. However, in lieu of the degree, a Provisional Certificate as per **Appendix-XVI** shall be issued to the candidate.

4.1 The Director Research shall also issue every month a notification indicating the name and other details of the Scholars who have been issued the Provisional Certificate during that month. A copy of the notification shall also be uploaded on the University website and be sent to all concerned and to the newsletter University News.

4.2 During the period between the issue of the Provisional Certificate and conferment of the degree of Ph.D. to research scholar, if Provisional Certificate is lost or has been destroyed and the candidate has a real need for duplicate provisional certificate, it may be issued on production of an affidavit on stamp paper of Rs. 50/- by the applicant concerned along with an application to this effect and remittance of prescribed fee on the name of Registrar.

0.101.18 Depository with UGC

1. Following the successful completion of the evaluation process and announcement of the award of Ph.D. degree, the University shall submit a soft copy of the Ph.D. thesis to the University Co-ordinator INFLIBNET within a period of thirty days, for hosting the same on INFLBNET accessible to all Institutions/ Universities. Prior to award of the Degree, the University shall issue a Provisional Certificate to the effect that the Degree has been awarded in accordance with the Minimum Standards and Procedure for Awards of Ph.D. Degree of GGT University, Banskara according to the guidelines of UGC Regulations 2016.

0.101.19: Intellectual Property Rights (IPR) :

Intellectual Property rights including publications of the research work carried out by the candidate under a supervisor recognized by the University shall vest jointly with the supervisor, the candidate and the University. The patent rights related to research shall be dealt under the IP policy of the University.

0.101.20 : Research Grievance Redressal Committee (RGRC)

There shall be a Research Grievance Redressal Committee (RGRC) to be constituted as follows:

- | | |
|-------------------------------------|----------|
| a) Dean of concerned faculty | Convener |
| b) A nominee of the Vice-Chancellor | Member |
| c) Director Research | Member |

The committee shall consider the grievances related to the registration, submission of thesis and conduction of viva-voce examination. Any grievance received shall be examined by the Committee and its recommendation shall be presented before the Vice-Chancellor for final decision.

APPENDICES :

- I. Application Form for Registration as Research Supervisor.
- II. Proforma for Preparation of Data Base of Registered Supervisor.
- III. Application Form for Allotment of Research Supervisor.
- IV. Norms and Outlines of Syllabus for Course Work.
- IVA Format for Outline (Synopsis of Proposed Research Work)
- VIB Application Form for Research Registration

- V. Yearly Progress Report.
- VI. Format for Declaration by the Candidate.
- VII. Format of Panel of Experts.
- VIII. Colour Scheme for Cover of Thesis.
- IX. Format for Certificate of the Supervisor to accompany the thesis.
- IXA Format for Certificate to be given by the Supervisor in the thesis.
- X Model for Cover & title page of the Thesis.
- XI Format for letter to the Examiner for consent.
- XII Format for Examiner's Report on Thesis
- XIII Schedule of Remuneration/Honorarium to be paid.
- XIV Format of Report of Ph.D.Viva- Voce
- XV Format of Degree of Ph.D.
- XVI Format for Provisional Certificate.
- XVII Schedule of Various Fees.

Abbreviations

- RET Research Eligibility Test
- RRC Research Registration Committee
- UGC University Grants Commission
- CSIR Council of Scientific and Industrial Research
- DoEn Department of Environment & forest
- CSSR Council of Social Science Research
- DST Department of Science and Technology
- RSAC Research Supervisor Allocation Committee
- RSRC Research Supervisor Registration Committee
- IPR Intellectual Property Right
- RGRC Research Grievance Redressal Committee



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)
APPLICATION FORM FOR REGISTRATION AS RESEARCH SUPERVISOR

APPENDIX I

(Reference Ord. 101.3.B)

1. Name of the Applicant (Women must write Ms. before their name)

In English (Capital Letters).....

In Hindi.....

2. Father's Name.....Mother's Name.....

3. Male/Female.....Category-SC/ST/GEN/OBC.....

4. Address.....

5. Telephone Nos.: Basic.....Mobile.....

6. E-mail ID.....

7. Present Place of Posting.....

8. Nature of Appointment: Permanent.....

9. Details of Doctoral Degree: Topic.....

Year of ward.....Name of University.....

Subject.....Faculty.....

10. Details of Subject in which wish to supervise Research:

Basic Subject.....

Allied Subjects in the Same Faculty:.....

Allied Subjects in any Other Faculty:.....

Other Field of Interest:.....

11. Teaching Experience of Post-Graduate classes (details there of be given hereunder):

Name of College/Deptt.	Subject	Title of Paper taught	Period giving exact date, month year from.....to.....

12. Research Experience as Research Supervisor (if any).

Name of University	Period From.....to	Subject	Faculty	No. of Ph.D. awarded	No. of Res. Scholars Presently perusing research

13. Brief summary of Research Activities:

a. Major Project Undertaken:

Title of the Project	Funding Agency	Cost of Project	Period of Project

b. Minor Research Project Undertaken:

Title of the Project	Funding Agency	Cost of Project	Period of Project

c. Research Papers published in Refereed Reputed Journals.(Copies enclosed)

Title of Research Article	Name of Journal National/International	Year	Volume No./Edition.

d. Participation in Conferences in the area of Research work concerned (Certificate(s) enclosed)

Name of the Conference and Venue	Status: National/International	Date of Participation	Attach copy of Paper and Certificate

14. Details of Infrastructure available for research in the Department/College:.....

.....

15. Research Areas available for prospective research scholars:.....

.....

Declaration/Undertaking

- i. The information furnished in this form are true and correct to the best of my knowledge and if found incorrect at any time I shall bear the consequences what so ever be.
- ii. I shall abide by the rules and directions and orders of the University faithfully, if I am registered as Research Supervisor, failing which I shall be liable to the disciplinary action against me including cancellation of Research Supervisorship.
- iii. I am submitting a **Mock Synopsis** for assessing my capability of guiding research.
- iv. I shall conduct teaching of entire Course Work as Research Supervisor.

List of Enclosures:

1. Mock Synopsis
- 2.
- 3.

Date:

Signature of the Applicant

No.....

Date:

Forwarded to the Director Research, G.G.T. University, Banswara for necessary action. I have verified the above details and I am satisfied about their correctness. It is assured that as Head of the Deptt./Principal of the College/Director of Institute shall abide by the rules, directions and orders of the University.

Signature of the Head of the University Department/Principal/Director with seal.



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)

APPENDIX - II

FORMAT TO BE FILLED IN BY THE SUPERVISOR

(To be sent to the Director Research, GGT University, Banswara)

(Reference Ord. 101. 8.A)

1. Name of the Supervisor.....
2. Designation.....
3. Department.....
4. Date of Birth.....
5. Address.....
6. Email ID.....
7. Telephone Nos. Residence.....Office.....Mobile.....
8. Doctoral Degree :
Topic.....
.....
Year of Award.....Name of University.....
Subject.....
Faculty.....
9. Details of Subjects for supervising Research :
Basis Subjects.....
Allied Subjects in the same faculty.....
Allied Subjects in other faculty.....
Other field of Interest.....
10. Registration as Research Supervisor.....
(Letter no. and date) (Enclose Photo StatCopy)
11. Research Experience (Yrs).....
12. Teaching Experience (Yrs).....UG.....PG.....
13. Brief Summary of Research (Activities including major research projects, research papers published, participation in seminar/conferences/workshops etc.) Add separate sheet, if necessary.
14. State the titles of the research papers published by you in the refereed peer journals in the concerned area.
15. No. of Candidates awarded Ph.D. degree under your supervision.....
16. Number of Ph.D. Scholars presently allotted.....Registered.....
17. Number of M.Phil. Students enrolled.....
18. Details of Infrastructure available with the Department/college.....
.....
.....
19. Research areas available for prospective research students
1.....
2.....
3.....

Signature of the Supervisor

Forwarded by the Head of the University Department/Principal/Director"

11. Details of Examinations :

Examination passed	University/Board	Year	Div.	Marks obtained	Percentage
Sr. Sec/Hr. Sec.					
U.G.					
P.G					
M. Phil					
RET/NET/SET/GATE/ANY Other					

12. Details of publication of article/sin Peer reviewed Journal :

Title of Article	Name of Journal	Year	Impact Factor Point if any	Remarks

13. Details of papers presented in National/International Conferences/Seminars/Workshops :

Title of paper	Name the event where it was presented	Year	Attach copy of paper and certificate

Declaration/Undertaking

14. I hereby declare that -

- (i) The information furnished in this form are true and correct to the best of my knowledge and if found incorrect any time I shall bear the consequences whatsoever be.
- (ii) I shall abide by the rules and directions and orders of the University faithfully during the period of my research work.
- (iii) I have carefully read the relevant provisions of O.101 of the University.
- (iv) I have visited the University website www.ggtu.ac.in in respect of the database of registered research supervisors in the subject, their field of interest and infrastructure available in the respective research centre.
- (v) I have ensured that no work has so far been carried out on the topic. In case it is found any time that work has been carried out on this topic, I shall be liable for rejection of my application and other consequences whatsoever be.
- (vi) I have not taken admission to any course of study leading to award of the degree of Post graduation and M.Phil. etc.

Date :

Signature of the applicant

APPENDIX - XVII

1.	Application form fee	Rs. 500.00
2.	Course work fee (Semester Fee)	Rs. 5,000.00
3.	Registration and synopsis examination fee (This fee shall be deposited after issue of provisional registration letter)	Rs. 6,000.00
4.	Thesis submission fee	Rs. 10,000.00
5.	Extension fees after 3 Yr. up to 6th Yr.	Rs. 10,000.00 (per year) Rs. 12000.00 for 7th year
6.	Arrangement of second viva-voce examination	Rs. 10,000.00
7.	Resubmission of thesis	Rs. 5,000.00
8.	Tuition fee	As prescribed.

(Changes in fee schedule from time to time are applicable.)

In addition to the above fee, all candidates (Indian/Foreign) have to pay other fee as per rules of the GGT University/College or Institution affiliated to this University.

Note: I In addition to the above, all foreign candidates except those who are nominated/sponsored by the Government of India under various cultural exchange scholarship schemes, are required to pay a onetime charge of rupee equivalent of Rs. 5000/- through a Bank Draft in favour of "**Registrar Govind Guru Tribal University**" Under the Head Contribution by foreign students to "**University development**" (CFSUD)



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)

APPENDIX - IV

Norms and outlines of the syllabus for conduct of the Course Work

(Reference Ord. 101. 9B)

1. There shall be a compulsory course work of one semester with three papers as below and a candidate who has joined for a course work shall be required to complete the course work for getting him/her registered for the Ph.D. Programme :-

Paper I - Research Methodology and Computer Applications

Paper I - Review of Literature

Paper I - Research Proposal: Design and Techniques

The course work of Paper-I shall be conducted centrally in the University Campus. This will be conducted faculty-wise as per the combinations given below:-

- a) Arts, Fine Arts, Social Science, Education (excluding Science) and Law
- b) Commerce, Management Studies
- c) Science, Education (in Science)

- 1.1 The course work of Paper - II and shall be conducted at the concerning College/Department as per the course outline given below:-

Combinations of faculty shall be (i) Arts, Fine Arts, Law and Social Sciences (ii) Science and Education (iii) Commerce and Management.

a) Course Outline for Paper - II - Review of Literature :

It will consist of training on how to collect, classify, orient, present and write review of literature. It will be primarily done under the supervision of the Research Supervisor. The Supervisor concerned shall assign to the candidate topic (s) related to the work the candidate is supposed to carry out in Ph.D. thesis. He will also suggest to the candidate the formats to write and submit the review articles on the topic(s) given. The candidate shall present at least two seminars covering the topic(s) before the teachers and the students of the Department and shall improve the work on the basis of the question and suggestions raised therewith. The candidate shall be required to present the review articles and one final presentation comprising all the information at the end of the semester to the teachers and the students of the faculty where at least two Research Supervisors including the Research Supervisor of the candidate shall be present.

b) Course Outline for Paper - III - Research Proposal: Design and Techniques

This would be training for the candidate on how to write proposals of Research. The candidate will collect research papers dealing with the methodology as per the objectives set for the study in consultation with the Supervisor. Thereafter, standardize the techniques based on the facilities that are available in the department. The Research Supervisor concerned shall guide the candidates to prepare research proposals for seeking grant in the format of an agency. The research proposal shall finally be prepared in a form of the Synopsis on the topic on which the candidate wishes to pursue his research. This will first be presented in the seminar before the teachers of the faculty and the students. Thereafter the same may be improved on the basis of the question and suggestions raised during the seminar and then finally be presented to the Director-Research in the format as per the provisions of this ordinance for presentation of the Synopsis.

- c) Assessment of Paper II shall be done at the level of the concerned Department of the University/College. The written material of the Paper II and III shall be evaluated by the Supervisor of the candidate. The honorarium paid to the supervisor for the assessment of paper II & III shall be Rs. 2000/- consolidated per candidate. On the basis of evaluation and presentation of Paper-II and III, the Head/Incharge of the Department/Principal of the College shall issue a certificate in following format and submit the same to the Director-Research.

Certificate

This is to certify that Mr./Mrs.....Son/Daughter of Mr.....has qualified/not qualified the Course Work of Paper-II & III for Registration for the Ph.D. programme in the Department of.....of the.....(name of the College/University) on.....(date/month/year).

**Signature with date
Head of the Department**

1.2 Norms for conduct of Centralized Course Work of Paper-I

The course work of Paper-I shall be conducted for a period of 15 working days centrally in the University with continuous assessment by the Co-ordinator/Coordinator to be appointed by the Vice-Chancellor for this purpose. The outline of the syllabus is given in **Appendix IV**.

1.3 The Co-ordinator shall invite suitable Resource Persons for instructions and teaching of the candidates as per the requirement of the syllabus of this paper.

1.4 There shall be three sessions of one hour and thirty minutes each per day for this purpose. One session out of three per day will be meant for assessment of the candidates on the instructions of the teaching imparted during the week. The assessment sheets shall be designed by the Coordinator/Co- Coordinator on the basis of the syllabus.

1.5 One Resource Person may teach maximum of two sessions in a day and a maximum of eight sessions during the course.

1.6 Honorarium and other allowances to the Resource Persons

The Resource Persons will be paid honorarium @Rs. 1500/- per session of one and half hour. The local Resource Persons shall be paid conveyance allowance as per rules. The outstation Resource Persons if travelling by Taxi or own car shall be paid as per rules or as per the increase in rates from time to time for the journey undertaken. Expenses on boarding and lodging if staying in the University Guest House shall be borne by Research Section of the University in lieu of DA else D.A shall be paid as per University Rules. Travelling of the Resource Person by train shall be allowed as per University Travelling Rules.

1.7 Honorarium to Coordinator/Co Coordinator and other associates/officials :

There shall be a section of maximum of 80 candidates. There shall be a Co-Course Coordinator for each section. The requirement of the associates/officials for conduct of the centralized course work shall be proposed along with the rate/amount of honorarium by the Coordinator for approval of the Vice-Chancellor. The expenditure on account of conduct of course work (honorarium, TA/DA/other allowances, working expenses, expenses on reading material etc.) shall be met out of the fee charged from the candidate for course work and from the budget head of expenditure **Budget Head- UNIVERSITY ADMINISTRATION.....** Expenses on Ph.D. Course Work.

The list of the eligible candidates for the course work of Paper-I shall be provided to the Coordinator by the Director - Research along with their address and contact numbers. The arrangement for accommodation to the outstation boys and girls candidate shall be provided by the Registrar as per the norms decided from time to time by Registrar. The Co-ordinator shall finalise the place for conduct of teaching of course work in consultation with the Registrar.

1.8 Mode of Assessment: Out of four internal assessments, best three will be considered for evaluation of the performance of the candidates as satisfactory. In case of unsatisfactory performance or shortage of attendance (less than 75% in the sessions) or absence due to valid reason, only one chance shall be allowed to the candidate to attend the next session of course work of Paper I. Unsatisfactory performance or Shortage of attendance (less than 75% of the sessions) or absence due to any reason in the given chance shall lead to cancellation of entitlement of the candidate for Research and the allotment of the Supervisor.

1.9 A certificate in following format shall be issued by the Co-ordinator to the candidate as well as to the Director Research on completion of the course work of Paper-I :

Certificate

This is to certify that Mr./Ms.....Son/D/o of Mr.....has attended and completed the teaching/instructions for the course work of Paper-I conducted by the University from.....to.....satisfactorily for the course work of Paper-I for registration for the Ph.D. programme.

Course Coordinator

Course Co-Coordinator



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)

APPENDIX - IV-A

Format for Outline (Synopsis) of Proposed Research work

(To be supplied in Four copies)

(Reference Ord. 101.11)

1. Name of Scholar (In English)
.....
(In Hindi)
2. Title of the Research Work :
(In English).....
(In Hindi).....
3. Location
 - a. Institution/Department where the work is to be done
 - b. Geographical area of Investigation, if any.
4. Introduction, Importance of Proposed Investigation, Objectives.
5. Review (Work already done on the subject of proposed Research Study.)
6. Research Gaps identified in the proposed field of investigation. (Based on the review)
7. Major Hypothesis, if any
8. Research methodology.
9. List of references cited.

Signature of the Candidate with date

Outline Approved

Name and Signature of supervisor with date & seal.

GOVIND GURU TRIBAL UNIVERSITY BANSWARA (RAJASTHAN)

APPENDIX - IV-B

शोध पंजीयन हेतु आवेदन पत्र

(Reference Ord. 101.11)

निदेशक शोध / विभागाध्यक्ष
गोविन्द गुरु जनजातीय विश्वविद्यालय,
बाँसवाडा।

विषय:- शोध पंजीयन हेतु आवेदन।

महोदय/महोदया,

विषयान्तर्गत निवेदन है कि विश्वविद्यालय के परिपत्र क्रमांकएफ- /शोध/जी.जी.टी.यू /..
.... दिनांक.....मे वर्णित सूचना के अनुसार मैंने निर्धारित कोर्स को सफलता पूर्वक पूर्ण कर लिया है
और इस परिपत्र के बिन्दु संख्या-1 में दिये गये निर्देशों के अनुसार शोध पंजीयन हेतु यह आवेदन
निम्नांकित वांछनीयता पूरी करते हुए प्रस्तुत कर रहा हूँ/रही हूँ :-

1. मुझे आवंटित शोध पर्यवेक्षक डॉ.हैं उन्होंने यह आवेदन पत्र मय
दस्तावेज अग्रेषित कर दिया है।
2. आवेदन शुल्क राशि रु 500/-का डी.डी. नं.....दिनांक.....
(कुलसचिव, गोविन्द गुरु जनजातीय विश्वविद्यालय, बाँसवाडा के नाम)
3. अध्यादेश 101.11में निर्धारित परिशिष्ट – IV A के अनुसार Format For outline of
Proposed Research Work (6 प्रतियों) में वांछित शोध पर्यवेक्षक के प्रमाण पत्र, (परिशिष्ट –
IV के साथ संलग्न हैं।

आपसे अनुरोध है कि कृपया इस आवेदन पत्र को संलग्न दस्तावेजों के साथ निदेशक शोध,
गोविन्दगुरु जनजातीय विश्वविद्यालय,बाँसवाडा को शोध पंजीयन की कार्यवाही हेतु अग्रेषित करावें।
मुझे ज्ञात है कि शोध पंजीयन से शोधोपाधि प्राप्त होने की अवधि में मैं अन्य कोई उपाधि पाठ्यक्रम में
प्रवेश नहीं ले सकता/सकती। यदि इस विश्वविद्यालय या किसी अन्य विश्वविद्यालय से कोई डिप्लोमा
या सर्टिफिकेट (नियमित/पार्ट टाइम/दूरस्थ शिक्षा) इस अवधि में करना चाहूँगा /चाहूँगी तो वह म
तब ही करूँगा/करूँगी जब मुझे मेरे शोध पर्यवेक्षक यह प्रमाण पत्र देंगे कि इस पाठ्यक्रम को करने से
शोध कार्य बाधित नहीं होगा।

संलग्न-उपर्युक्तानुसार ।

दिनांक.....

प्रार्थी

हस्ताक्षर.....

पूरा नाम एवं पता.....

टेलिफोन/मोबाईल नं0.....

आवंटितसेवारत शोध पर्यवेक्षक की अग्रेषण टिप्पणी

मैं, डॉ०.....इस विश्वविद्यालय का पंजीकृत शोध पर्यवेक्षक हूँ।वर्तमानमें.....
.....में कार्यरत हूँ। मेरी सेवानिवृत्ति की दिनांक.....हैं। शोध
पंजीयन हेतु उक्त आवेदन पत्र मय संलग्न दस्तावेज निदेशक शोध, गोविन्द गुरु जनजातीय
विश्वविद्यालय,बाँसवाडा को कृपया अग्रेषित कराएं।

दिनांक :

हस्ताक्षर.....

क्रमांकदिनांक:

निदेशक (शोध)

गोविन्दगुरु जनजातीय विश्वविद्यालय,बाँसवाडा— 327001

शोधार्थी.....का शोध पंजीयनहेतु, आवंटित शोध पर्यवेक्षकसेअनुशंसितआवेदन पत्र मय
संलग्नउपर्युक्तदस्तावेजों के साथ शोध पंजीयनहेतुअग्रेषितहैं।

हस्ताक्षर एवंसील, प्राचार्य / विभागाध्यक्ष

शोधअनुभाग के उपयोगहेतु

आवेदन पत्र की जांचकरलीगईहैं।अतः संबंधित शोध पंजीयनसमिति की बैठकमेंनिर्णयार्थ रखेजाने योग्य
हैं।

हस्ताक्षरजांचकर्ता

अथवा

आवेदन पत्र की जांच की गईऔरनिम्नकमियांपाईगईजिनकीपूर्तिकरायीजानीहैं:—

- 1.
- 2.
- 3.

आवेदन पत्र मेंपाईगयीउक्तकमियांअभ्यर्थी द्वारादिनांक.....कोपूरीकरदीगयीहैं।अतः संबंधितविषय शोध
समिति की बैठकमेंनिर्णयार्थ रखेजाने योग्य हैं।

हस्ताक्षरजांचकर्ता



APPENDIX - V

Yearly Progress Report of the Ph.D. Work

(Reference Ord. 101.13 A)

1. The progress report (in about 300 words) about the work carried out during the period shall be submitted by the candidate in duplicate duly signed by the candidate and countersigned by the Supervisor.
2. The supervisor shall make the requisite, entries, sign and then send it through proper channel to the Director Research, Govind Guru Tribal University Banswara.
3. The report should be submitted in the following format %
 - (a) Particulars about the candidate :
 - (i) Name
 - (ii) Designation (if applicable)
 - (iii) Institution where employed (if applicable)
 - (iv) Period of the Report
 - (b) Registration Letter No. and Date :
 - (c) Ph.D. Registration Title:
 - (d) Particulars of the Supervisor(s)
 - (i) Supervisor
 - i. Name
 - ii. Designation
 - iii. Institution where employed
 - (ii) Co-Supervisor
 - i. Name
 - ii. Designation
 - iii. Institution where employed
4. Name of the Department/Institution where research is being conducted.
5. Area of work and title of proposed thesis :
6. Detail of progress
 - (a) Literature surveyed in the relevant period of progress report evidence by journals/research papers and web address consulted.
 - (b) The gaps identified related to the work undertaken.
 - (c) Data collected
 - (d) Data Analysed
 - (e) Manuscripts under preparation for publication.
 - (f) Manuscripts communicated for publication (attach copy)
 - (g) Manuscripts accepted for publication (attach copy)
 - (h) Information viewed related to research seminars/conferences/workshops.
 - (i) Abstracts sent for presentation for the conference (attach copy)
7. Has the research fee been paid for the years in question, if so enclose photo copy of receipt ?

Date.....

Signature of Candidate

8. Remarks of the Supervisor(s)
 - (a) Performance: Satisfactory/Not Satisfactory
 - (b) Suggestions Communicated

9. Final Recommendation of the Supervisor:

It is certified that the candidate has taken at least-----days guidance during this year.

Date.....

Place:.....

of the Department

Signature of the Head/Incharge

(Forwarded)



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)

APPENDIX - VI

FORMAT FOR PANEL OF EXPERT IN THE SUBJECT/AREA CONCERNED

(Reference Ord. 101. 15)

Name of Expert with designation	Official Address	Residential Address	Telephone No. Landline & cell	Email ID	Area of Expertise
---------------------------------	------------------	---------------------	-------------------------------	----------	-------------------

- 1.
- 2.

Note : As per O. 101. 15 at least Eight Experts, are to be recommended. Four of these have to be the rank of Professor from outside the State. A maximum of two Associate Professors may also be included in the Panel of Experts.

Authenticity of the rank of the examiners given in the panel shall be the sole responsibility of the supervisor. Supervisor ship may be cancelled by the University if the expert is found wrong.

Place.....

Date:.....

Signature of supervisor with Designation



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)

APPENDIX - VII

(Reference Ord. 101. 16)

DECLARATION TO BE GIVEN BY CANDIDATE

It is hereby declared that the undersigned research scholar has got research paper(s) on the topic.....published in a peer reviewed journal namely.....and appended its copy at the end of thesis wherein I have acknowledged my institute for providing facilities for my research work.

Date :

**Signature of the candidate
Name & Registration No.**



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)

APPENDIX - VIII

COLOUR SCHEME FOR THESIS COVER IN DIFFERNT FACULTIES.

(Reference Ord. 101. 16 D)

- | | | |
|----|--|--------------|
| 1. | Faculty of Arts/Social Science
Fine Arts, Music & Dramatics | -Red |
| 2. | Faculty of Commerce | -Yellow |
| 3. | Faculty of Education/ | -Crimson |
| 4. | Faculty of Law | -Purple |
| 5. | Faculty of Management
Studies | -Light Green |
| 6. | Faculty of Sanskrit/
Vedic Studies | -White |
| 7. | Faculty of Science | -Light Blue |



APPENDIX - IX

(Declaration to be given by the Candidate on a non judicial stamp paper of Rs. 100/- and verified by a Notary)

(Reference Ord. 101. 16D)

DECLARATION

I Mr./Ms./Mrs.....S/o/D/o hereby declare that the research work incorporated in the present thesis entitled "....." is my own work and is original carried out under the guidance of my research supervisor. This work (in part or in full) has not been submitted to any University for the award of a Degree or a Diploma. I have properly acknowledged the sources and the material collected from secondary sources wherever required. I solely own the responsibility for the originality of the entire content.

I have also completed the relevant requirement of Ordinance in force.

Date :**Signature of the candidate**

APPENDIX – IXA

Certificate to be given by the supervisor in the thesis

(Reference Ord. 101. 16 D)

This is to certify that thesis entitled " (title of thesis in English or in Hindi).....by (Name of candidate) has been submitted under my guidance. He/She has completed the following requirement as per Ph.D. regulation of the University.

- (a) Course work as per the university rules.
- (b) Residential requirements of the university.
- (c) Regularly submitted yearly progress report.
- (d) Presented his work in the departmental committee.
- (e) Published/accepted minimum of one research paper in a refereed research journal.
- (f) Presented two research papers in National/International Conference(s)/Seminar(s)

I recommend the submission of thesis.

Date :**Signature of Supervisor with Seal**



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA (RAJASTHAN)

APPENDIX - X

(Reference Ord. 101. 16 D)

Format of Cover & Title Page

.....
.....

(Title of Research work)

A THESIS

Submitted in accordance with the provisions of Regulation 2009 and 2016 of UGC for the Award of
Ph.D. Degree of Govind Guru Tribal University, Banswara
in the Faculty of.....

By

.....

(Name of Candidate)

MONOGRAM

Under Supervision of

.....

(Name & Designation of Supervisor)

(Name of the University Department/College.....)

GOVIND GURU TRIBAL UNIVERSITY, BANSWARA

Year of Submission.....



परिशिष्ट-X

(Reference Ord. 101. 16 D)

आवरण एवं शीर्ष पृष्ठ का प्रारूप

.....
.....

(शोध शीर्षक)

गोविन्द गुरु जनजातीय विश्वविद्यालय, बाँसवाडा
की पी.एच.डी. उपाधि हेतु यू.जी.सी. रेग्यूलेशन 2009 एवं 2016में वर्णित प्रावधानों के अनुसार प्रस्तुत
शोध-प्रबन्ध

.....संकाय

.....
(नाम शोधार्थी)

मोनोग्राम

शोधनिदेशक

.....
(नाम एवं पद)

.....विश्वविद्यालय विभाग / महाविद्यालय
गोविन्दगुरु जनजातीय विश्वविद्यालय, बाँसवाडा ।

वर्ष.....



GOVIND GURU TRIBAL UNIVERSITY, BANSWARA

APPENDIX - XI

(Reference Ord. 101. 17.2)

No. F-/Res./ GGTU /.....

Date:-.....

To,

Dear Sir/Madam,

The Vice-Chancellor has been pleased to appoint you as an examiner to evaluate a thesis".....

....." for the award of the degree of Ph.D. of the university. "A copy of the abstract of work is sent herewith for your perusal."

I shall be grateful if you kindly convey acceptance by.....so that the thesis may be mailed to you.

An early reply, preferably through e-mail is requested. Email Id is.....

Incase your consent is not received in the given time, it will be presumed that you are not willing to evaluate the Thesis and the Vice Chancellor will be requested to appoint another Expert as an examiner.

Encl: As stated above.

Yours truly,

Director (Research)



GOVIND GURU TRIBAL UNIVERSITY, BANSWRA

APPENDIX - XII

Proforma for evaluation of Ph.D. Thesis

E-mail ID.....

(Reference Ord. 101. 17.2.1)

PART- A

Name of Candidate

.....

Name of Designation and Institution of the Supervisor :

Name

.....

...

Designation

.....

Institution

.....

...

Name of Designation and Institution of the Co-Supervisor :

Name

.....

...

Designation

.....

Institution

.....

...

Research Center

.....

Chapters of the Thesis With Titles :

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.

- 7.
- 8.
- 9.
- 10.

Total Number of Pages of the thesis :

Whether or not the Bibliography Conforms to the recommended/approved format :

Please Tick (P) **Yes { }** **No { }**

Whether Graphs, Charts and Figures have been incorporated (If applicable)

Yes { } **No { }**

Signature of the Examiner.....

Name & Designation:.....

Mailing Address of the Examiner.....



PROFORMA FOR EVALUATION OF Ph.D. THESIS
Guidelines for Examiners
PART B

S.No.	Details	Yes	No	N.A	Comments
1.	Whether the outcomes of the research work make a significant contribution to the relevant field ?				
2.	Is the Sampling Design*appropriate ?				
3.	Is the Experimental design appropriate ? (of applicable)				
4.	Is the Presentation with respect to Language acceptable ?				
5.	Whether Sources of relevant information/literature data have been tapped ?				
6.	Whether appropriate methodology has been adopted by the researcher ?				
7.	Whether Instruments have been used appropriately ? (if applicable)*				
8.	Whether Statistical tools have been appropriately used?*				
9.	Reference Sources used : (a) Books (b) Research Papers (c) Research Reports (including Ph.D./D.Litt./D.Sc./ M.Phil/ P.G Dissertations/Thesis (d) Reference Books (e) Others (to be mentioned)				
10-	Whether Suggestions for further research have been given ?				

Note : *These items may not be applicable to certain subjects/ topics N.A. means "Not Applicable"

PART C
PROFORMA FOR EVALUATION OF Ph.D. THESIS
(The report should be sent to the Director Research)

1. Name of the Candidate
.....
2. Subject
.....
3. Degree
.....
4. Title of the Thesis
.....
5. Name, Designation and Mailing Address of the Examiner
.....
.....
.....Pincode.....Tel.No. (Res).....Office.....
6. E-mail address of the Examiner
.....

Important Note :

1. Is the thesis recommended for the award of the Ph.D. Degree ? YES { } NO { }
Please state your recommendation in clear language
2. If the thesis is recommended for revision in any way :
Please state your recommendation in clear language
3. If the thesis is rejected :
Please state your recommendation in clear language
4. Is the thesis is suitable/not suitable for publication in the present form :
Please state your recommendation in clear language

Place : (Signature of the Examiner)
Date : Name :

The Examiner is requested to give recommendations on the enclosed proformas.

Please do not leave any form unfilled. Write NA if not applicable.

1. In Case the thesis is recommended for the award of the degree, please give your detailed report Chapterwise covering the strengths and weaknesses :

Place :(Signature of the Examiner)

Date : Name :

1. It is further certified that the thesis fulfils the following provision of the G.G.T. University, Banswara Ordinance:

- (a) It is piece of research work characterized by the discovery of facts or a fresh approach towards the investigation of facts.
- (b) The thesis evinces the candidate's capacity for critical examination and sound judgment.
- (c) It is satisfactory in point of language and presentation of such matter.

Place :(Signature of the Examiner)

Date : Name :

2. In case the thesis is recommended for the revision, please indicate the guidelines, grounds and reasons for revision.

Place : (Signature of the Examiner)

Date :

Name :

3. In case the thesis is rejected, please indicate grounds for rejection.

Place :(Signature of the Examiner)

Date :

Name :

4. Please indicate whether or not the thesis is suitable for publication in the present form please indicate. In case the thesis is not suitable for publication in the present form, suggestions should be given for making necessary changes.

Place :(Signature of the Examiner)

Date :

Name :



APPENDIX - XIII

Schedule of Honorarium & Remuneration to be paid

(Reference Ord. 101. 17.2.1)

- | | | |
|----|---|------------------------------|
| 1. | Co-ordinator of Course work Paper-I | Rs. 3000-00 |
| 2. | Co Coordinator of Course work Paper-I | Rs. 2500-00 |
| 3. | Resource Persons for course work (for one and half hour lecture) | Rs. 1500-00 |
| 4. | Evaluation of thesis and preparation of evaluation report
per examiner. | Rs. 2000-00 |
| | Note :- Foreign examiners shall paid @ 50 \$ (US Dollar) per thesis
(Change in rates as applicable time to time) | |
| 5. | Conduct of Viva- voce per examiner | Rs. 2000-00 |
| 6. | Research related meetings Sitting fees of experts | Rs. 2000-00
per day |
| 7. | Remuneration to Supervisor for conduct of course work of Paper II & III | Rs. 2000-00
per candidate |

The local Resource Persons shall paid conveyance allowance @ Rs. 100/- for visits per day.

The outstation Resource Persons if travelling by Taxi or own car shall be paid as per rules or as per the increase in rates from time to time for the journey undertaken. Expenses on boarding and lodging if staying in the University Guest House shall be borne by the University in lieu of D.A., else D.A. shall be paid as per University Rules. Travelling of the Resource Person by train shall be allowed as per University Travelling rules.

APPENDIX – XIV

REPORT OF Ph.D. VIVA-VOCE

1. Name of the candidate
2. Subject
3. Title of Thesis.....
4. Date of Viva-Voce.....
5. Name of External Examiner-----
6. Name of Supervisor.....

Examiner's Report

.....
Signature		Signature
Internal Examiner/s		External Examiner
(Supervisor /s)		

APPENDIX - XV

(Reference Ord. 101. 17.4)

पंजीयन क्रमांक
क्रमांक



Registration No.

S. No.

गोविन्दगुरु जनजातीय विश्वविद्यालय, बाँसवाडा

GOVIND GURU
BANSWARA



TRIBAL UNIVERSITY,

प्रमाणित किया जाता है कि

पिता का नाम माता का नाम को
दिनांक को
योग्य पाये जाने पर

डॉक्टर ऑफ फिलासफी
(कला संकाय)

की अपाधि प्रदान की गयी।

उनके शोध प्रबन्ध का शीर्षक निम्नलिखित था :-

यह प्रमाण-पत्र इस विश्वविद्यालय की मुद्रा तथा कुलपति के हस्ताक्षर से निर्गमित किया जाता है।

Certified that

Father's Name

Mother's Name

on being found duly qualified on sixteenth April Two Thousand Nine has been awarded the degree of

Doctor of Philosophy

(Faculty of Arts)

The title of his/her thesis was :-

In Testimony whereof are set the seal of the University and signature of the Vice-Chancellor.

कुलपति
Vice-Chancellor

APPENDIX - XVI
GOVIND GURU TRIBAL UNIVERSITY, BANSWARA

S.No.....

Provisional Certificate

(Reference Ord. 101. 17.4)

Certified that the title of the thesis:.....

.....

Submitted by.....

Father's Name.....

Mother's Name.....

For the Degree of Doctor of Philosophy

(Faculty of _____)

on.....has been approved by the

Vice-Chancellor on -----.

Banswara

(Director Research)

Dated.....

APPENDIX - XVII

1.	Application form fee	Rs. 500.00
2.	Course work fee (Semester Fee)	Rs. 5,000.00
3.	Registration and synopsis examination fee (This fee shall be deposited after issue of provisional registration letter)	Rs. 6,000.00
4.	Thesis submission fee	Rs. 10,000.00
5.	Extension fees after 3 Yr. up to 6th Yr.	Rs. 10,000.00 (per year) Rs. 12000.00 for 7th year
6.	Arrangement of second viva-voce examination	Rs. 10,000.00
7.	Resubmission of thesis	Rs. 5,000.00
8.	Tuition fee	As prescribed.

(Changes in fee schedule from time to time are applicable.)

In addition to the above fee, all candidates (Indian/Foreign) have to pay other fee as per rules of the GGT University/College or Institution affiliated to this University.

Note: I In addition to the above, all foreign candidates except those who are nominated/sponsored by the Government of India under various cultural exchange scholarship schemes, are required to pay a onetime charge of rupee equivalent of Rs. 5000/- through a Bank Draft in favour of "**Registrar Govind Guru Tribal University**" Under the Head Contribution by foreign students to "**University development**" (CFSUD)